

# Stability of viscous shock waves and beyond

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Short course, IHP: Lecture 2b



# Asymptotically constant-coefficient operators and ILT representation of the Green distribution

$L = \partial_x(B(x)\partial_x + \partial_x A(x))$ , limits  $A_{\pm}$ ,  $B_{\pm}$  as  $x \rightarrow \pm\infty$ .

**GOAL:** Express Solution operator  $S(t)$  for  $u_t = Lu$  as

$$u(x, t) = \int_{\mathbb{R}} G(x, t; y) u(y) dy, \quad (1)$$

(distributional sense), via **ILT formula**:

$$G(x, y) = P.V. \frac{1}{2\pi i} \int_{\gamma-i\infty}^{\gamma+i\infty} e^{\lambda t} G_{\lambda}(x, y) d\lambda, \quad (\text{ILT})$$

where  $G_{\lambda}(x, y)$  is resolvent kernel associated with  $(\lambda - L)^{-1}$ .

(Formally, (ILT) applied to  $\delta_y(x)$ ...)



# Construction of the resolvent kernel: the conjugation lemma

By introducing phase variables, we can reduce resolvent equation  $(\lambda - L)u = f$  to first-order equation

$$W' - A(\lambda, x)W = F. \quad (2)$$

**Example:**  $L = \partial_x^2 + A\partial_x$ ,  $W := (u, u')^t$ ,  $A = \begin{pmatrix} 0 & \text{Id} \\ \lambda & A \end{pmatrix}$ ,  $F = \begin{pmatrix} 0 \\ f \end{pmatrix}$ .



## Reduction to constant coefficients

The **conjugation lemma** [Métivier-Z], analog for asymptotically constant ODE to Floquet's Lemma in the periodic case.

### Lemma

For  $A(\lambda)$  converging exponentially to  $A_{\pm}(\lambda)$ , there exist coordinate changes  $T_{\pm}(\lambda)$  on  $x \geq 0$ , converging exponentially to Id as  $x \rightarrow \pm\infty$ , such that  $W = T_{\pm}Z_{\pm}$ ,  $F = T_{\pm}\tilde{F}_{\pm}$  reduces resolvent eq. (2) to constant coefficients:

$$Z'_{\pm} - A_{\pm}(\lambda)Z_{\pm} = \tilde{F}_{\pm}.$$

Moreover,  $T_{\pm}$  retains the regularity in  $\lambda$  of  $A$  (in this case analytic).

*Proof* (postponed): Contraction mapping/Lyapunov-Perron [Levinson] plus homological equations for conjugation of  $A$  to  $A_{\pm}$ .



# Construction of Henry (Monteiro)

**Lyapunov-Perron:** Projecting const.-coeff. equation onto eigencomponents, write:

$$Z_+(x) = e^{A_+x} P_+ Z_+(0) + \int_0^x e^{A_+(x-y)} P_+ \tilde{F}_+(y) dy - \int_x^\infty e^{A_+(x-y)} Q_+ \tilde{F}_+(y) dy \quad (3)$$

where  $P_+$ ,  $Q_+$  are eigenprojections onto stable, unstable subspaces of  $A_+$ , and similarly for  $x < 0$ ; matching conditions:

$$T - Z_-(0) = T_+ Z_+(0).$$

**ASSUMPTIONS** (i) (ODE) *hyperbolicity*,  $\sigma(A)$  has nonvanishing real part. (ii) *consistent splitting*, dimensions of stable/unstable subspaces same for  $A_\pm$ .

**Remark.** Domain of consistent splitting bounded by dispersion curves of  $A_\pm$ , spectra of limiting constant-coeff. operators  $L_\pm$ .



## Solving for kernel

**Obs.** Existence of eigenvalue equivalent to solution with  $\tilde{F} = 0$ , i.e., nontrivial  $Z(0)$  s.t.  $Q_+Z + (0)$ ,  $Q_-Z - (0) = 0$ .

Setting  $x = 0$  in (3), get

$$Q_+Z_+(0) = \int_0^\infty - \int_x^\infty e^{A_+(-y)} Q_+ \tilde{F}_+(y) dy,$$

and similarly for  $x < 0$ . If no e-value, then this determines  $Z_\pm(0)$ , by matching conditions, bounded linear operation.

**COMBINING**, we have  $W(x) = \int \mathcal{G}_\lambda(x, y) F(y) dy$ , where

$$|\mathcal{G}_\lambda(x, y)| \leq C e^{-\eta|x-y|},$$

exponential decay in  $|x - y|$ .

**Remark.** Uniqueness clear from construction (=solution).



# Henry's Theorem

From convolution bound  $|f * g|_p \leq |f|_p |g|_1$ , and evident  $L^1$  bound on our bound  $Ce^{-\eta|x-y|}$  for  $|G_\lambda(x, y)|$ , get  $L^p$  boundedness of  $(\lambda - L)^{-1}$  on set of consistent splitting, whenever  $\lambda$  is not an eigenvalue.

## Corollary (Henry)

$\sigma_{\text{ess}}(L)$  lies to the left of the rightmost dispersion curve of the limiting operators  $L_\pm$  (equivalently, their curves of essential spectra).

**BUT:** for nonself-adjoint operators, can be open regions of essential spectrum where consistent splitting fails (easy examples). Thus, ILT is different from generalized Fourier decomposition...



# High-frequency bounds

Previous bounds *not uniform in  $\lambda$* . For high-frequency (large  $\lambda$ ) bounds, use different, semiclassical limit-type, asymptotic ODE estimates (e.g., “tracking,” or “reduction” lemmas of [Z-Howard, Handbook], etc.)

**IDEA:** For rapidly varying solutions (large  $\lambda$ ), behaves approximately as “frozen coefficient equation,” tracks close to stable/unstable subspaces. We will omit these (for now), as we won’t actually use them in our stability analysis.

**Result:** Uniform bounds  $|G_\lambda(x, y)| \leq Ce^{-\eta|x-y|}$ , ind. of  $\lambda$ ,  $\Re\lambda$  sufficiently large.





## II. Verification of ILT formula

Validity of ILT for Green distribution now follows by splitting the operator ILT integral as before, then observing that all terms are of form  $L^k$  applied to an absolutely convergent integral in  $\lambda$  and  $L^p(\mathbb{R})$ , hence converges to a distribution =  $L^k$  applied to  $L^2$  function.



# NEXT

We will use the more detailed Green distribution description in order to obtain our desired linearized estimates.

**NOTE:** Again, similarly as in operator-ILT, that the representation formula is only a starting point. Once we obtain bounds on the solution (which, for distribution, means on very regular test function data), these *extend to the full semigroup*.

